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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
Y-189

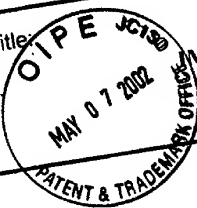
In Re Application Of: Yuji MATSUDA

Group Art Unit
2853

Serial No.
09/937,858

Filing Date
September 28, 2001

Examiner

Title: **OPTICAL IMAGE FORMING DEVICE**


Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☒ the statement specified in 37 CFR 1.97(e);
- OR
- ☐ the fee set forth in 37 CFR 1.17(p).

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Docket No.
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In Re Application: **Yuji MATSUDA**

Serial No.
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Group Art Unit
2853



IMAGE FORMING DEVICE

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

(Date)

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I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

James H. Walters

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Dated: MAY 3, 2002

James H. Walters
Signature

James H. Walters, Reg. No. 35,731
802

DELLETT AND WALTERS

310 S.W. Fourth Avenue, Suite 1101
Portland, Oregon 97204 US
(503) 224-0115

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**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
Y-189

In Re Application Of: **Yuji MATSUDA**

Serial No.
09/937,858

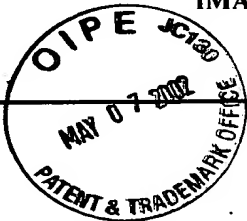
Filing Date
September 28, 2001

Examiner

Group Art Unit
2853

Invention:

IMAGE FORMING DEVICE



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

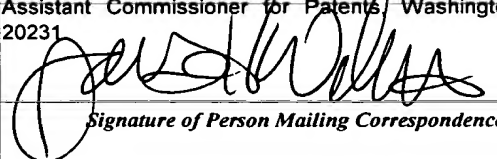
Dated:

MAY 3, 2002

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Yuji MATSUDA

S. N. 09/937,858

International S.N.: PCT/JP02/02670

Filed: September 28, 2001

International Filing Date: 24 April 2000

For: IMAGE FORMING DEVICE

Art Unit: 2853

Examiner:



SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant wishes to draw attention to the documents listed on the accompanying Form PTO-1449, copies of which documents are enclosed.

A certification under 37 CFR 1.97(e) is attached. The documents listed in Form PTO-1449 were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, namely a European search report that was mailed March 28, 2002.

Pursuant to MPEP 609, it is requested that the Examiner should initial Form PTO-1449 to confirm that each document has been considered, and return a copy of the form to the undersigned.

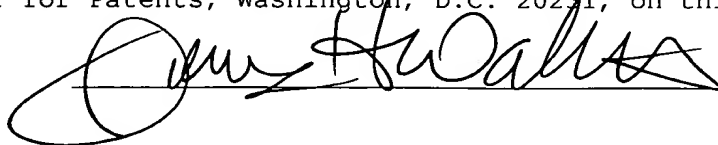
Respectfully submitted,


James H. Walters, Reg. No. 35,731

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DOCKET: Y-189

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